# U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE ATTORNEY'S DOCKET NO. 13533/48203

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter that is claimed and for which a Letters Patent is sought on the invention entitled **DELIVERY OF DNA OR RNA VIA GAP JUNCTIONS FROM HOST CELLS TO TARGET CELLS AND A CELL-BASED DELIVERY SYSTEM FOR ANTISENSE OR SIRNA** the specification of which was filed in the United States Patent and Trademark Office on **June 19, 2006** as U.S. Application No. 10/583,369.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, C.F.R. § 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT International filing date of the continuation-in-part application.

### PRIOR FOREIGN APPLICATION(S)

I hereby claim foreign priority benefits under Title 35, United States Code, § 119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below any foreign application for patent or inventor's certificate or PCT International application having a filing date before that of the application on which priority is claimed:

COUNTRY	APPLICATION NUMBER	DATE OF FILING (day, month, year)	DATE OF ISSUE (day, month, year)	PRIORITY CLAIMED UNDER 35 U.S.C. § 119
WIPO	PCT/US2004/042504	17 Dec. 2004		YES

### PRIOR UNITED STATES APPLICATION(S)

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional application(s) listed below:

APPLICATION NUMBER	FILING DATE (day, month, year)	
60/530,555	17 December 2003	

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# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s):

Peter R. BRINK et al.

Serial No.:

10/583,369

Int'l App. No.:

PCT/US04/42504

For:

DELIVERY OF DNA OR RNA VIA GAP JUNCTIONS FROM HOST CELLS TO TARGET CELLS AND A CELL-BASED

DELIVERY SYSTEM FOR ANTISENSE OR SIRNA

Filing Date:

19 June 2006

Art Unit:

Unknown

Confirmation No.:

3149

## STATEMENT UNDER 37 C.F.R. § 3.73(b)

Sir:

# THE RESEARCH FOUNDATION OF STATE UNIVERSITY OF NEW

YORK, having a place of business at 35 State Street, Albany, New York 12207, states that it is the assignee of the entire right, title and interest of inventors <u>Ira S. Cohen, Peter R. Brink and Arthur Grollman</u> in the patent application identified above by virtue of the following chain of title from the aforementioned inventors to the current assignee as shown below:

From: Ira S. Cohen, Peter R. Brink and Arthur Grollman

To: The Research Foundation of State University of New York

The document was recorded on <u>June 1, 2004</u> in related application Serial No. 10/739,917 at Reel <u>015388</u> and Frame <u>0556</u>.

The undersigned is authorized to sign this Statement Under 37 C.F.R. §3.73(b) on behalf of the assignee, THE RESEARCH FOUNDATION OF STATE UNIVERSITY OF NEW YORK.

By:

Respectfully submitted,

Dated: May 24, 2007

Ashton J. Delauney

Recognition No. L0227

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